

## ADDITIONAL INFORMATION SHEET SPECIFIC TO A COMPANY

This form should be completed in addition to an application form, for any Company investment.

### Company Details

Company Name \_\_\_\_\_

Physical Principal Place of Business \_\_\_\_\_  
NUMBER/STREET/SUBURUB/CITY

\_\_\_\_\_  
POSTCODE

### Significant Shareholders (Own more than 25%)

*Please note:* if any of the Significant Shareholders are Companies, please list the Significant Shareholders of that Company.

Name \_\_\_\_\_  
TITLE SURNAME FIRST NAME(S)

Date of birth \_\_\_\_/\_\_\_\_/\_\_\_\_

Name \_\_\_\_\_  
TITLE SURNAME FIRST NAME(S)

Date of birth \_\_\_\_/\_\_\_\_/\_\_\_\_

Name \_\_\_\_\_  
TITLE SURNAME FIRST NAME(S)

Date of birth \_\_\_\_/\_\_\_\_/\_\_\_\_

### Directors (all)

Name \_\_\_\_\_  
TITLE SURNAME FIRST NAME(S)

Date of birth \_\_\_\_/\_\_\_\_/\_\_\_\_

Name \_\_\_\_\_  
TITLE SURNAME FIRST NAME(S)

Date of birth \_\_\_\_/\_\_\_\_/\_\_\_\_

Name \_\_\_\_\_  
TITLE SURNAME FIRST NAME(S)

Date of birth \_\_\_\_/\_\_\_\_/\_\_\_\_

Name \_\_\_\_\_  
TITLE SURNAME FIRST NAME(S)

Date of birth \_\_\_\_/\_\_\_\_/\_\_\_\_

The names and dates of birth of **all** directors are required. If there are more than 4 please attach additional details to this Information Sheet.

I \_\_\_\_\_ (full name) confirm that the details provided above are correct as at the date of executing this Information Sheet. Should any of the Significant Shareholders or Directors change at anytime in the future, we will advise you accordingly.

Signed \_\_\_\_\_ (Director) Date \_\_\_\_\_

## *Identification requirements*

### **Company (NZ resident)**

No further identification documentation is required if your first investment payment is by way of:

- A cheque that is in the name of the Company and is drawn on a New Zealand bank account; or
- A direct debit authority that is in the name of the Company, is from the Company's New Zealand bank account, and is accompanied by a certified photocopy of a bank encoded deposit slip for the account. If the bank encoded deposit slip is an original, no certification is required.

For *all* other methods of payment, please provide the following identification requirements:

1. Certificate of Incorporation
2. Evidence of the Company's physical place of business, e.g. a copy of a utility bill not more than six months old.
3. For the Director who signed the application form and the Managing Director, a certified photocopy of *one* of the following forms of photographic identification:
  - Appropriate pages of Passport
  - Driver licence
  - NZ firearms licence.

Or, if no form of photographic identification is available, please provide certified photocopies of *two* forms of non-photographic identification, e.g.

- Marriage certificate
- Birth certificate
- Credit or ATM card issued by a New Zealand bank, provided the signature is verified.

### **Company (non resident)**

For *all* methods of payment, please provide the following identification requirements:

1. Certificate of Incorporation
2. Constitutional documents (if applicable)
3. Evidence of the Company's physical place of business, e.g. a copy of a utility bill not more than six months old.
4. For the Director who signed the application form and the Managing Director, a certified photocopy of *one* of the following forms of photographic identification:
  - Appropriate pages of Passport
  - Driver licence.
5. For each of the Significant Shareholders and Ultimate Beneficial Owner/s, a certified photocopy of *one* of the following forms of photographic identification:
  - Appropriate pages of Passport
  - Driver licence

Please note, in each of the above investor categories, **“certified”** means that the document must be certified with an original signature by an ING-contracted financial adviser, lawyer, teacher, minister of religion, police officer, kaumatua, registered medical professional, justice of the peace or applicant's employer. The name, position and telephone number of the certifier must also be clearly noted.